

**State of Illinois Uniform Notice of Funding Opportunity (NOFO)
Summary Information**

Awarding Agency Name	Transportation
Agency Contact	Adam Gabany (Adam.Gabany@illinois.gov)
Announcement Type	Initial
Type of Assistance Instrument	Grant
Funding Opportunity Number	21-0343-03
Funding Opportunity Title	State and Community Highway Safety/National Priority Safety Program
CSFA Number	494-10-0343
CSFA Popular Name	Section 402 and Section 405
Anticipated Number of Awards	0
Estimated Total Program Funding	\$20,000,000
Award Range	Not Applicable
Source of Funding	Federal and State
Cost Sharing or Matching Requirements	No
Indirect Costs Allowed	Yes
Restrictions on Indirect Costs	Yes : (2CFR Part 200, Appendix VII.D.b); (2CFR 200.47)
Posted Date	01/13/2020
Application Date Range	01/13/2020 - 03/03/2020 : 4:00 PM
Grant Application Link	http://www.idot.illinois.gov/transportation-system/safety/grants/index
Technical Assistance Session	No

Illinois Department of Transportation Notice of Funding Opportunity (NOFO)

FFY21 Local Year-Long

21-0343-03

A. Program Description

To aid local communities in reducing fatalities and serious injuries on Illinois roadways, the Illinois Department of Transportation's Bureau of Safety Programs and Engineering (BSPE) established the traffic safety grant program. This program is made possible with federal funds that are issued to the state annually for highway safety initiatives. These funds are distributed via grants through the Highway Safety Plan (HSP). The primary performance goals of the HSP include: reducing motor vehicle crashes; reducing fatalities and injuries; increasing the use of occupant protection devices; and reducing impaired driving.

Funding for these types of programs is limited; current/past funding of a program does not guarantee future funding. Program applicants must provide clear data supporting the community need and specific ways this program and funding will improve problem areas. Program applicants must also demonstrate how their request will help meet BSPE's performance goals. Supplemental materials to aid in the development of the application narrative and budget can be found under the "Resources" tab at <http://www.idot.illinois.gov/transportation-system/safety/grants/index>.

The HSP grants operate on a cost reimbursement basis. Local agencies and organizations that are approved for grant funding are required to pay for project expenses initially and are then reimbursed by BSPE. Only approved and documented expenditures will be reimbursed under the HSP.

The Notice of Funding Opportunity (NOFO) is for Federal Fiscal Year (FFY) 2021. Dates of operation are from October 1, 2020 through September 30, 2021.

This NOFO is for the following programs: Sustained Traffic Enforcement Program (STEP), Injury Prevention (IP), Local Alcohol Program (LAP), Pedestrian Bicycle Program (PB), and Regional Traffic Safety Resource Centers (RTSRC).

Updated Policies and program specifications are listed below for each program. This information can also be found at <http://www.idot.illinois.gov/transportation-system/safety/grants/index>.

UPDATED POLICIES:

OFFICER COMP TIME REIMBURSEMENT:

For STEP grants, officers may earn comp-time if the agency is compensated at the current agreed rate and it will be the same rate, no matter if it is pay or comp-time. Like any other grant reimbursement, the time worked must be billed within the grant period, at the rate established and at the time the officer worked the grant. The BSPE 500 form must be filled out the same using the pay period date. Please note by officer name (COMP). Your agency will still be billing our office for the hours worked and we will still be reimbursing them. The only change is that your department will give your officers comp time instead of pay.

FRINGE FOR NON-ENFORCEMENT PART-TIME EMPLOYEES:

Fringe can be paid for non-enforcement staff members based on their percentage of work associated with the specific grant. Example: If a staff member works for the grant 55% of the time, IDOT will reimburse up to 55% of the fringe rate for the part-time employee.

Officer hire back services are excluded from this and are only allowed to be paid hourly wages.

PROGRAMMATIC INCOME/IN-KIND CONTRIBUTIONS:

Program Income will no longer be collected unless the grantee submits a proposal to the BSPE. BSPE must provide written approval prior to the collection and use of program income/in-kind contributions.

If approved, program income/in-kind contributions funds must be treated as federal dollars and must abide by all

state and federal guidelines. These funds are to be expended in the grant year they were collected.

EQUIPMENT AND SUPPLIES EXPLANATION:

Equipment: (2 CFR 200.439)

Equipment means tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost which equals \$1,000 or more. Equipment with a purchase price of over \$5,000 requires prior approval from the State Highway Safety Office and NHTSA.

Examples: golf cart; seat belt convincer; Internet server.

Supplies: (2 CFR 200.94)

Supplies means all tangible personal property other than those described in Equipment. Tangible personal property with a purchase price less than \$1,000 per unit. A computing device is a supply if the acquisition cost is less than the \$1,000, regardless of the length of its useful life. See also §200.20 Computing Devices and 200.33 Equipment. Generally, supplies include any materials that are expendable or consumed during the course of the project.

Examples: pens; pencils; printer paper; laptop under \$1,000; calculator; tablet.

TECHNOLOGY GUIDELINES FOR GRANTEES:

Personal Cell Phone and Data:

BSPE will not provide salary stipends, expense reimbursements, payments on behalf of grantees, or any reimbursement (via purchasing card or direct bill) to cover costs associated with use of personal cell phones, data plans, or other equipment with cellular connectivity used while performing business related activities.

Personal/Home Internet:

BSPE will not provide salary stipends, expense reimbursements, payments on behalf of grantees, or any reimbursement (via purchasing card or direct bill) to cover costs associated with use of personal/home Internet services used while performing business related activities.

For a more specific breakdown please see the program specs on <http://www.idot.illinois.gov/transportation-system/safety/grants/index>

ALL PROGRAM SPECIFICATIONS

STEP PROGRAM SPECIFICATIONS:

The Sustained Traffic Enforcement Program (STEP) grant focuses on high visibility enforcement during specific times and dates of the year. The enforcement efforts are designed to reduce fatalities and serious injuries on some of the deadliest times of the year for vehicle travel. There are six required holiday campaigns and additional enforcement options. Each agency has different needs and focuses, and the additional enforcement options can be used to help address those issues.

PROJECT GOAL:

Increase highly-visible enforcement of traffic safety laws in Illinois.

NEW THIS YEAR:

- No purchases of equipment or supplies in FFY21.
- Occupant Protection Outcome updated: Thirty (30) percent should be for occupant protection violation.
- Agency must submit previous citation data in application attachment (see BSPE 411).

• Additional Enforcement:

o Agencies shall submit only one BSPE 500 claim and one BSPE 205 for the entire month of additional enforcement. BSPE 500 and BSPE 205 shall be submitted within two weeks of the end of the month where work was completed.

o Agencies can only conduct additional enforcement outside of the mandatory and optional campaigns. The only exception to this rule is for the month of April. This is due to the Distracted Driving Campaign being the entire month.

o Agencies will only be able to complete one type of additional enforcement per month with a maximum of four months per enforcement type [Occupant Protection (OP), Impaired Driving (ID), Speed].

□ Ex: Sample City:

- October – OP
- November – OP
- December – ID
- January – ID
- February – Speed
- March – OP
- April – Speed
- May – OP
- June – ID
- July – Speed
- August – Speed
- September – ID

o Agencies will designate additional enforcement campaign months on page four of the BPSE 411. This is just an estimation of when the campaigns will be conducted.

o Designated additional enforcement campaign months can be changed based on need. Safety Grant Administrators must give approval.

□ Ex: Occupant Protection was designated in the attachment for November. Agency now wants to do Speed Enforcement. The agency must ask for and receive Safety Grant Administrator approval to confirm the change in enforcement type.

II. Campaign Specifications:

Mandatory Enforcement Campaigns - Must participate in the following holiday campaigns:

- Thanksgiving (OP)
- Christmas/New Year's (ID)
- St. Patrick's Day (ID)
- Memorial Day (OP)
- Independence Day (ID)
- Labor Day (ID)

• Occupant protection enforcement requires a minimum of 50 percent of overall patrol hours be conducted between 6:00 p.m. and 6:00 a.m.

• Impaired driving patrol hours must occur between 6:00 p.m. and 6:00 a.m.

However, impaired driving patrol hours may be extended providing pre-approval is given by the assigned IDOT Safety Grant Administrator (GA).

- Additionally, daytime patrol hours can be conducted BY ARIDE-TRAINED OFFICERS ONLY between the hours of 6:00 a.m. and 6:00 p.m., providing pre-approval is given by the assigned IDOT Safety Grant Administrator (GA).

- All officers conducting grant-funded, alcohol-related enforcement must be trained in the Standardized Field Sobriety Test (SFST). Approved training in this area consists of the 24-hour National Highway Traffic Safety Administration (NHTSA), DWI Detection and SFST Course or other NHTSA/Illinois Law Enforcement Training and Safety Board-approved refresher course. To satisfy this requirement, officers must complete an ILETSB accredited academy, a 24-hour SFST course or an SFST refresher course every four (4) years from the date of their last completed certified training. These courses must be taught by certified SFST instructors. Note: A law enforcement agency may provide in-house training for its own officers conducted by officers from the same agency, provided the trainer is a certified ILETSB SFST instructor. Officers may also attend training at an agency other than their own if the training is conducted by a certified SFST instructor. In these situations, a class roster showing all officers who completed the training must be sent to the ILETSB. Upon request, law enforcement agencies must be able to produce verification of compliance with this requirement.

Optional Enforcement Campaigns

- Agencies may participate in any or all of the following campaigns. Please see the BSPE 411 for a breakdown
 - i. Halloween
 - ii. Super Bowl
 - iii. Distracted Driving
 - iv. Child Passenger Safety

Additional Enforcement Campaigns

Agencies can only conduct additional enforcement outside of the mandatory and optional campaigns. The only exception to this rule is for the month of April. This is due to the Distracted Driving Campaign being the entire month.

Agencies will only be able to complete one type of additional enforcement per month with a maximum of four months per enforcement type (OP, ID, Speed). Agencies will designate additional enforcement campaign months on page four of the application attachment (BPSE 411). This is an estimation of when your agency will be conducting additional enforcement campaigns. The maximum number of additional enforcement details is 12 per year.

Ex: Sample City

- ☐ October – OP
- ☐ November – OP
- ☐ December – ID
- ☐ January – ID
- ☐ February – Speed
- ☐ March – OP
- ☐ April – Speed
- ☐ May – OP
- ☐ June – ID
- ☐ July – Speed
- ☐ August – Speed
- ☐ September – ID

Agencies shall submit only one BSPE 500 claim and one BSPE 205 for the entire month of additional enforcement. BSPE 500 and BSPE 205 shall be submitted within two weeks of the end of the month where work was completed.

Designated additional enforcement campaign months can be changed based on need. GA must give approval.

Ex: Occupant Protection was designated in the attachment for November. Agency now wants to do Speed Enforcement. The agency must ask for and receive GA approval to confirm the change in enforcement type.

Impaired Driving

Impaired driving patrols must occur between 6:00 p.m. and 6:00 a.m. Daytime patrol hours can be conducted BY ARIDE-TRAINED OFFICERS ONLY between the hours of 6:00 a.m. and 6:00 p.m. Additional hours may be utilized for Drug Recognition Expert (DRE) call outs providing preapproval is given by the assigned IDOT GA.

All officers conducting grant-funded, alcohol-related enforcement must be trained in the Standardized Field Sobriety Test (SFST). Approved training in this area consists of the 24-hour National Highway Traffic Safety Administration (NHTSA), DWI Detection and SFST Course or other NHTSA/ILETSB approved refresher course. To satisfy this requirement, officers must complete an ILETSB accredited academy, a 24-hour SFST course or an SFST refresher course every four (4) years from the date of their last completed certified training. These courses must be taught by certified SFST instructors. Note: A law enforcement agency may provide in-house training for its own officers conducted by officers from the same agency, provided the trainer is a certified ILETSB SFST instructor. Officers may also attend training at an agency other than their own if the training is conducted by a certified SFST instructor. In these situations, a class roster showing all officers who completed the training must be sent to the ILETSB. Upon request, law enforcement agencies must be able to produce verification of compliance with this requirement.

Occupant Protection

Occupant protection patrols require a minimum of 50 percent of patrol hours be conducted between 6:00 p.m. and 6:00 a.m. Justification for this patrol exception must be given by a GA in writing prior to undertaking patrols during this alternate time-frame.

Speeding

Speeding patrols can be conducted at any time outside of the scheduled holiday campaign.

Campaign Requirements:

For each campaign completed the agency must:

- Conduct pre- and post-enforcement activities. Examples: news releases; TV interviews; media events; community education; and court (prosecutors and judges) personnel.
- Conduct the enforcement campaign for the minimum specified overtime hours. Patrols must be continual and spread out over the enforcement campaign period during times of high crash incidence.
- Obtain and collect data from campaign. Report this information on the BSPE 205 form.
- Hire back traffic enforcement shall be provided for the specified hours spread throughout the enforcement period with an emphasis on impaired driving, occupant restraint, illegal use of an electronic device, and/or speeding laws.
- Officers are encouraged to issue multiple citations to drivers and/or passengers who have committed multiple violations.
- The applicant agency may be asked to participate in promotional events and regional meetings at the request of BSPE.

III. Desired Outcomes by Enforcement Type:

Occupant Protection:

1. A minimum of one (1) traffic citation for every 60 minutes of patrol.
2. Thirty (30) percent should be for occupant protection violations. Front and back seat child and adult occupants.

Impaired Driving:

1. A minimum of one (1) traffic citation for every 60 minutes of patrol.
2. A minimum of one (1) DUI arrest for every 15 hours of patrol.

Speeding:

1. A minimum of two (2) traffic citations for every 60 minutes of patrol.
2. Fifty (50) percent should be for speeding-related violations.

Distracted Driving:

1. A minimum of two (2) traffic citations for every 60 minutes of patrol.
2. Fifty (50) percent should be for distracted driving violations.

Grantees should have controls in place to monitor officers even during periods of low activity.

INJURY PREVENTION PROGRAM SPECIFICATIONS:

To aid local communities in reducing deaths and injuries on Illinois roadways, the BSPE established the Injury Prevention (IP) grant program. This program is designed to enable local agencies to conduct public information and education campaigns focusing on highway safety issues.

PROJECT GOAL:

The goal of the Injury Prevention Program is to develop and implement effective traffic safety injury prevention and education strategies in Illinois.

NEW THIS YEAR:

1. The purchase of bicycle helmets and bicycles is prohibited.
2. BSPE will not reimburse for paid media campaigns.
(Example: Radio; TV PSA; media spots; development of a PSA/advertisement)
3. BSPE will no longer provide grants that only address Child Passenger Safety.

Applicants under this program address a wide variety of highway safety issues including but not limited to the following areas:

- Provide educational materials and technical tools designed to foster community level approaches to highway safety issues specifically: occupant protection, impaired driving, pedestrian/bicycle safety, motorcycle safety, drowsy driving, and distracted driving;
- Provide victim impact, highway safety-based education programs, and trainings to local communities in an effort to reduce injuries and fatalities due to motor vehicle crashes;
- Provide safety education and instruction using evidence-based curricula, including but not limited to Safe Kids' Child Passenger Safety Certification Course, Operation Kids, Smart Cycling, and ThinkFirst;
- Use data-driven approaches to evaluate and address local highway safety issues;
- Assist BSPE with setting up, promoting, and delivering media events.
- Participate in applicable local traffic safety networks, coalitions, and councils dedicated to highway safety.

Funding for these types of programs is limited; current/past funding of a program does not guarantee future funding. Program applicants must provide clear data supporting the community need and specific ways this program and funding will improve problem areas. Program applicants must also demonstrate how their request will help meet BSPE's performance goals.

LOCAL ALCOHOL PROGRAM SPECIFICATIONS:

The Local Alcohol Program (LAP) is an initiative of the BSPE that promotes the safety and wellbeing of all people using Illinois' roadways. The LAP is preventative in nature by focusing on solutions designed to create awareness and reduction in alcohol and drug impaired driving incidents. This grant program is designed to address the complexity of Illinois' alcohol-and drug-impaired traffic safety problems.

PROJECT GOAL:

To create a coordinated effort to address alcohol related traffic fatalities and serious injuries.

NEW THIS YEAR:

- IDOT will not reimburse for paid media campaigns.

Example: Radio; TV; PSA; media spots; development of a PSA/advertisement

Applicants may focus on one, multiple, or partial of the following prevention or criminal justice activities;

- establish and support student safety clubs and activities;
- promote high-refusal assertiveness skills training;
- encourage policies to reduce alcohol, other drug and traffic safety problems on campuses;
- institute working relationships with school health and guidance personnel as a means of providing information to students about a variety of traffic safety and health behaviors;
- develop and conduct alcohol issues training sessions for a variety of professional disciplines; and
- make effective use of criminal justice, medical or other professionals through presentations in the classroom or the design of and delivery of training courses and materials.

A. Prevention

The objective of this activity is designed to increase public awareness and knowledge about alcohol and drug-impaired driving, promote safe and healthy behavior among underage and adult individuals, and to increase the awareness of the consequences associated with an arrest and other sanctions for impaired driving.

Public information and education (PI&E) prevention programs should:

- Consist of comprehensive, coordinated efforts that are designed to present a clear message.
- Message should foster the attitude that driving after consuming alcoholic beverages or other impairing drugs is unacceptable behavior. These messages should be sustained and emphasize enforcement mobilizations but may also occur throughout the grant year.

Below are examples of potential projects in this activity area:

Additional prevention initiatives include educational programs and activities. These may occur through schools, community groups and/or religious organizations and may include parent education, formalized curricula, coalition development/community involvement, and peer education programs.

Community outreach prevention activities include:

- employer programs;

- responsible alcohol service (including enforcement efforts);
- transportation alternatives;
- adult and underage drinking/drug use prevention;
- educational programs; and
- school-based activities.

Below are examples of potential projects in this activity area:

Communities should promote responsible alcohol service policies and practices in the retail alcohol service industry through laws, regulations, and policies, with appropriate publicity, signage, and enforcement. Communities are also encouraged to promote alternative transportation programs that enable impaired drinkers to reach their destinations without driving and risking harm to themselves and others.

A comprehensive alternative transportation program uses a community-wide approach addressing all types of drinking situations (in commercial establishments and private homes) with one or more transportation alternatives. Two principle types of alternative transportation programs are designated driver and safe rides programs.

In a designated driver program, the designated driver for a group agrees not to drink alcoholic beverages so that all participants can arrive safely at their destination. Alcohol servers and social hosts who support and sponsor designated driver programs usually provide non-alcoholic beverages free to the designated driver. Alternatively, safe rides programs provide free or reduced-price rides to drinkers using taxis, buses or private automobiles.

Employer programs include:

- model policies regarding impaired driving, seat belt use and other traffic safety issues;
- employee awareness activities;
- management training to recognize and deal with alcohol and drug impairment/abuse; and
- education and treatment programs for employees.

Responsible alcohol services include:

- promotion of alcohol server training programs;
- enforcement programs to prevent the sale of alcoholic beverages to minors (e.g., “Cops in Shops” and “Shoulder Tap” operations);
- adequate staffing to enforce existing alcoholic beverage control and regulations;
- awareness of dram shop provisions as they relate to individuals and providers.

B. Criminal Justice

The objective of this emphasis area is to provide necessary DUI law enforcement resources and training to law enforcement, prosecutors, and additional judicial personnel.

Applicants may propose a comprehensive program that will effectively utilize resources to combat the impaired driving population.

Comprehensive program plans considered for funding potentially include:

- give prosecutors the skills and resources to obtain convictions and seek appropriate and effective sanctions for offenders (reduce number of plea bargains);
- ensures screening of all high-risk DUI offenders for substance abuse;
- provide training and technical assistance to law enforcement officers regarding one or more of the following: SFST, ARIDE, DRE, and/or phlebotomy;
- facilitate uniformity and consistency in prosecution and adjudication of impaired driving cases;
- give judges the skills and resources necessary to appropriately adjudicate impaired driving cases;
- ensure that judges are well versed in DUI issues and prepared to adjudicate youthful offenders;
- educates medical facility personnel regarding no civil liability for the truthful reporting of blood and urine tests in

DUI cases;

- encourage reporting of blood and urine tests;
- assist with the development, implementation or expansion of “No-refusal” search warrant programs;
- provide necessary resources to establish and/or conduct DUI Court (including necessary training);
- study saliva testing for DUI drug identification; and
- personnel services for DRE call outs.

PEDESTRIAN and BICYCLE PROGRAM SPECIFICATIONS:

Illinois experienced a 3% decrease in bicycle fatal crashes in 2018 compared to the previous four-year average. In a year-to-year comparison, there was a 7.7% decrease from 2017 to 2018. Bicyclist fatalities decreased by 3% in 2018, compared to the previous four-year average and decreased by 7.7% in 2018, compared to 2017.

Pedestrians involved in fatal crashes increased by 21.6% in 2018, compared to the previous four-year average, and increased by 17.3% in 2018, compared to 2017. Pedestrians involved in total crashes increased by 3.3% in 2018, compared to the previous four-year average, and increased by 1.0% in 2018, compared to 2017.

PROJECT GOAL:

The goal of the pedestrian and bicycle safety program is to reduce the incidence of crashes involving pedestrian and bicyclists and the resulting fatalities and injuries.

NEW THIS YEAR:

1. The purchase of bicycle helmets and bicycles is prohibited.
2. BSPE will not reimburse for paid media campaigns.

Example: Radio; TV; PSA; media spots; development of a PSA/advertisement

The program will focus on outreach/education, enforcement and training of law enforcement officials. Activities must address one or more of the following:

- Training Efforts – training of law enforcement officials on State laws applicable to pedestrian and bicycle safety;
- Enforcement mobilizations and campaigns designed to enforce State traffic laws applicable to pedestrian and bicycle safety; or
- Public education and awareness campaigns designed to inform motorists, pedestrians, and bicyclists of State traffic laws applicable to pedestrian and bicycle safety.

Descriptions and examples of activities are listed below:

- Provide training for law enforcement officers on State laws regarding pedestrian and bicycle safety;
- Use data-driven approaches to evaluate and address local highway safety issues related to pedestrian/bicycle fatalities and injuries;
- Develop and implement a public information and awareness campaign designed to educate the public on pedestrian and bicycle safety;
- Conduct enforcement of the State laws regarding pedestrian and bicycle safety;
- Participate in the May 2020 Bicycle Safety Month.
- Participate in applicable local traffic safety networks, coalitions or councils dedicated to highway safety.

Funding for these types of programs is limited; current/past funding of a program does not guarantee future funding. Program applicants must provide clear data supporting the community need and specific ways this program and funding will improve problem areas. Program applicants must also demonstrate how their request will help meet BSPE’s performance measures.

REGIONAL TRAFFIC SAFETY RESOURCE CENTER PROGRAM SPECIFICATIONS:

To aid local communities in reducing the death and injury rates on Illinois roads and highways, the BSPE established the Regional Traffic Safety Resource Centers (RTSRC). The centers are designed to conduct public information and education campaigns focusing on highway safety issues. They serve as regional resources providing education, training, and support in promoting safety programs. RTSRC program shall focus on the Strategic Highway Safety Plan (SHSP) priorities areas for their region. Applicants meeting the RTSRC program requirements detailed in the following pages may apply for funding.

Seven RTSRCs are planned to be funded in Illinois and will be staffed by Traffic Safety Liaisons (TSLs). Each RTSRC covers a specific region in the state. They coordinate and support traffic safety initiatives within that region. See IDOT website for coverage area map (<http://www.idot.illinois.gov/transportation-system/safety/grants/current>) .

The seven regions are:

- Chicago
- Cook and Collar Counties
- Northwest
- West Central
- East Central
- Southwest
- Southeast

PROJECT GOAL:

To create a coordinated effort within the designated region to address traffic related serious injuries and fatalities.

NEW THIS YEAR:

- The BSPE will no longer provide grants only for Child Passenger Safety (CPS). The RTSRC will absorb the duties of providing CPS certification and recertification fees, car seat purchases and distribution to inspection stations.
- RTSRC's shall provide funding for all necessary CPS recertification and certification fees for agencies in the RTSRC region. These costs shall be accounted for in Other or Miscellaneous Costs on the budget.
- RTSRC shall provide car seats for individuals in need when necessary to local agencies putting on car seat inspection stations. These costs shall be accounted for in Supplies on the budget.
- Car seats are the only supplies that are allowed to be given to local agencies for car seat inspection stations. Example: noodles; clipboards; and pens are not allowable)
- RTSRC's shall plan and include these costs in their application.
- Car seats shall be shipped from the vendor directly to the inspection station or the local agency must come pick up the car seats from the RTSRC in their area. The delivery of seats on regular basis is unallowable.
- IDOT will not reimburse for paid media campaigns.
Example: Radio; TV; PSA; media spots; development of a PSA/advertisement

Grantees under the RTSRC program address a wide variety of highway safety issues including but not limited to the following:

- A regional point of contact for traffic safety programs, trainings, and community events focusing on pedestrian, bicycle, motorcycle, older driver, teen, alcohol, drugged, and distracted driving;
- Provide evidence-based programs aimed at increasing the safety of all drivers but specifically inexperienced drivers and older drivers;
- Provide education to the public about traffic safety by staffing informational booths at community events;
- Use data-driven approaches to evaluate and address local highway safety issues;

- Develop and disseminate Child Passenger Safety (CPS) continuing education unit (CEU) opportunities in region. Also offer, promote, and teach standardized CPS certification courses and renewal courses in the region;
- Participate in Statewide CPS Week/Seat Check Saturday promotions and conduct a minimum of one car seat check.
- Utilize the electronic car seat check form – www.carseatcheckform.org – to track car seat checks (not applicable to agencies affiliated with Safe Kids Worldwide);
- Assist local agencies with setting up car seat checks, teen safety fairs, traffic safety presentations and other community events;
- Assist BSPE with setting up, promoting, and delivering earned media events.
- Establish and maintain community traffic safety networks of law enforcement and local organizations to reduce injury and fatality rates in identified region.

Funding for these types of programs is limited; current/past funding of a program does not guarantee future funding. Program applicants must provide clear data supporting the community need and specific ways this program and funding will improve problem areas. Program applicants must also demonstrate how their request will help meet BSPE's performance goals.

B. Funding Information

State Funds and FAST Act federal funding Sections 402, 405b, 405c, 405d, 405f, 405h and 1906 depending on the specific grant objectives.

C. Eligibility Information

1. Eligible Applicants

An entity may not apply for a grant until the entity has registered and pre-qualified through the Grant Accountability and Transparency Act (GATA) Grantee Portal, www.grants.illinois.gov/portal. Each entity should check with their County or Municipalities' highest level (generally the treasurer, clerk, etc.) to see how they are currently registered in the GATA portal. Registration and pre-qualification are required annually. During pre-qualification, verifications are performed including a check of federal SAM.gov Exclusion List and status on the Illinois Stop Payment List. The Grantee Portal alerts the entity of "qualified" status or informs how to remediate a negative verification (e.g., inactive DUNS, not in good standing with the Secretary of State). Inclusion on the SAM.gov Exclusion List cannot be remediated.

For Local Governments there are two types of registrations, which include:

- **Centralized** - primarily for Local Governments (**most common type**) - Counties and Municipalities with multiple departments and divisions where the funds/awards go to the county or municipality's highest level and then to the specific division or department. The highest entity level would register for all departments and divisions. All entities that fall under this centralized registration would be listed/identified in a "Grantee Note" by the registrant or the registrant can obtain assistance from DOT.GATA@illinois.gov to enter in the additional entities for them. All of the awards for any of the entities listed would go to the registered FEIN, DUNS and GATA registration (a.k.a. Organization ID) numbers listed on the centralized registration. **One** Internal Control Questionnaire (ICQ) would be completed and **ONE** Indirect Cost Rate would be identified for all entities identified under the registration.
- **Parent/Child** - primarily for Local Governments (**least common type**) - Counties and Municipalities with multiple departments and divisions that have their own DUNS numbers AND receive the funds/awards directly. The funds/awards DO NOT go through the highest level. The highest entity (the parent) level would register their FEIN and DUNS, complete an ICQ and select an indirect cost rate.

Local law enforcement agencies, local civic organizations, public and private schools, colleges and universities, hospitals, public health departments, local governmental agencies, nonprofit groups, and under limited circumstances private individuals and businesses.

2. Cost Sharing or Matching

Allowed but not required unless otherwise determined by NHTSA

3. Indirect Cost Rate

Allowed

4. Other, if applicable

D. Application and Submission Information

1. Address to Request Application Package

DOT.TSgrants@illinois.gov or 217-782-3568

2. Content and Form of Application Submission

Agencies applying for a Highway Safety Grant, should go to <http://www.idot.illinois.gov/transportation-system/safety/grants/index> and complete the following forms:

1. Uniform Application

- Fill in application, print entire application, sign signature page, scan entire document, ready to send to IDOT
- Send as PDF named "agency name Application FFY21"

2. Uniform Budget Template

- Fill in budget template, save as a fillable PDF with unsigned signature page, ready to send to IDOT
- Send as PDF named "agency name Budget FFY21"
- Uniform Budget Template Signature Page
 - Print signature page, sign, scan as PDF, ready to send to IDOT
 - Send as PDF named "agency name Signed Budget FFY21"

3. Attachment (BSPE 411 for STEP grants or BSPE 421 for non-enforcement grants)

- Fill in attachment, saved as fillable PDF, ready to send to IDOT
- Send as PDF named "agency name Attachment FFY21"

4. Programmatic Risk Assessment

- Fill in assessment, print entire document, sign signature page, scan entire document with signature as PDF, ready to send to IDOT
- Send as PDF named "agency name Risk Assessment FFY21"

5. Affidavit of Disclosure of Conflicts of Interest

- Fill in affidavit, print entire document, sign signature page, scan entire document with signature as PDF, ready to send to IDOT
- Send as PDF named "agency name Conflict of Interest FFY21"

Send required forms as attachments in a single email with a subject line including your agency name and "FFY21 application" to DOT.TSgrants@illinois.gov.

If required forms are missing or not workable, applicant agency will be contacted within five (5) business days.

A Highway Safety Grant application is not considered complete until all required documents are confirmed as received.

BSPE will reply with an electronic receipt of delivery once application documents are received. Please allow five (5) business days for confirmation.

Grantee must follow up within ten (10) business days of submitting their application if no confirmation email has been received by emailing DOT.TSgrants@illinois.gov. Failure to do so will result in forfeiture of possible grant funding.

3. Dun and Bradstreet Universal Numbering System (DUNS) Number and System for Award Management (SAM) -- Each applicant (unless the applicant is an individual or Federal or State awarding agency that is exempt from the requirements under 2 CFR §25.110(b) or (c), or has an exception approved by the Federal or State awarding agency under 2 CFR § 25.110 (d) is required to:
 - (i) Be registered in SAM before submitting its application. To establish a SAM registration, go to www.SAM.gov and/ or utilize this instructional link: [How to Register in SAM](#) from the www.grants.illinois.gov Resource Links tab. As of 6/11/18, entities registering in SAM must submit a notarized letter appointing their authorized Entity Administrator. Use the updated [FAQ sheet](#) from SAM.gov to learn more.
 - (ii) Provide a valid DUNS number in its application; and
 - (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal, Federal pass-through or State award or an application or plan under consideration by a Federal or State awarding agency. It also must state that the State awarding agency may not make a Federal pass-through or State award to an applicant until the applicant has complied with all applicable DUNS and SAM requirements and, if an applicant has not fully complied with the requirements by the time the State awarding agency is ready to make a Federal pass-through or State award, the State awarding agency may determine that the applicant is not qualified to receive a Federal pass-through or State award and use that determination as a basis for making a Federal pass-through or State award to another applicant.

The State FY21 Internal Control Questionnaire must be completed in the GOMB GATA Portal, any outstanding audits must be completed, and the Programmatic Risk Assessment must be filled out to its entirety and submitted with the application package.

4. Submission Dates and Times

Monday, 01/13/20 - Monday, 03/03/20 at 4 p.m.

5. Intergovernmental Review, if applicable

N/A

6. Funding Restrictions

Allowable Budget Line-Items per program:

STEP:

- Personnel (Salary and Wages)
- Indirect Costs

Injury Prevention/Local Alcohol Program/Pedestrian and Bicycle/ Regional Traffic Safety Resource Center:

- Personnel (Salary and Wages)
- Fringe
- Travel
- Equipment
- Supplies
- Contractual Services
- Consultant
- Occupancy
- Research and Development
- Telecommunications
- Training and Education
- Miscellaneous Costs
- Indirect Costs

7. Other Submission Requirements

BSPE will reply with an electronic receipt of delivery once application documents are received. Please allow five (5) business days for confirmation.

Applicant must follow up within ten (10) business days of submitting their application if no confirmation email has been received by emailing DOT.TSgrants@illinois.gov. Failure to do so will result in forfeiture of possible grant funding.

E. Application Review Information

1. Criteria

Applications will have merit-based reviews consisting of the following criteria. Please make sure your application submission has all of the required information and documentation. Incomplete applications may impact the merit-based review process. Please check the instructions as to which form your agency is required to submit. This information is supplied in the Content and Form of Application Submission area.

LAP, RTSRC, IP, and PB will be reviewed with Non-Enforcement Criteria and Weight.

STEP applications will be reviewed with New and Existing Criteria and Weight.

Non-Enforcement Criteria and Weight:

Highway Safety Program Area 5%

Located within 23 County Model 5%

Problem Statement 15%

Background 5%

Specific Objectives/Hypotheses 10%

Develop Specific Performance Measures using Traffic Safety Data (crash, population, health, and surveys) 5%

Data and Method of Evaluation 20%

Program Implementation 20%

Previous Experience 10%

Risk Assessment 5%

Existing Enforcement Criteria and Weight:

Located within 23 County Model 25%

City/Unincorporated Population size of 25,000+ 10%

Over 500 Crashes for the last three years 10%

Over 20 percent of Crashes are fatality/serious injury crashes 10%

Complies with the Illinois Traffic Stop Study 5%

Submitting Acceptable Reports and Claims 10%

Below Average Cost of Citation 10%

Below Average Cost Per Hour 10%

Total Amount Expended vs. Amount Awarded 5%

Risk Assessment 5%

New Enforcement Criteria and Weight:

Located within 23 County Model 30%

City/Unincorporated Population size of 25,000+ 15%

Over 500 Crashes for the last three years 15%

Over 20 percent of Crashes are fatality/serious injury crashes 20%

Complies with the Illinois Traffic Stop Study 10%

Risk Assessment 10%

Complies with the Illinois Traffic Stop Study 15%

2. Review and Selection Process

All application packages submitted on time shall be reviewed, graded, and scored by criteria as stated by the Grantor. Reviews may be completed internally or externally. Due to limited funding, not all applications may receive funding.

Each application will be reviewed by at least two different reviewers. Reviewers will complete a scorecard and makes notes as necessary.

After the review process is completed, BSPE will compile all reviews and begin the selection process. Selection will be based on the merit-based review average score, benefit to the HSP, and available funding. Selected applicants will receive a Notice of State Award (NOSA). Applicants that were not selected will receive a denial letter.

After the merit-based review and selection is completed, applicants that have been denied funding have the ability to appeal the decision. This is done by submitting a formal letter to the BSPE. This letter may present the case in which the applicant believes they should have received funding. Please contact 217-782-3568 for specifics.

3. Anticipated Announcement and State Award Dates, if applicable

07/01/20 pending the ability to complete the FY21 ICQ in the GOMB GATA Portal

F. Award Administration Information

1. State Award Notices

Successful applicants will receive a Notice of State Award (NOSA) which specifies the funding terms and specific conditions resulting from the pre-award risk assessments. The NOSA will be provided and must be accepted through the Grantee Portal. The NOSA is not authorization to begin performance or incur costs.

07/01/20 pending the ability to complete the FY21 ICQ in the GOMB GATA Portal with a campaign date of 10/01/20-09/30/21.

2. Administrative and National Policy Requirements

This NOFO is restricted by policies set forth in the FAST Act and 2 CFR 200.

Applicants must digitally accept the NOSA in the GOMB GATA Portal by clicking the "accept" button. No hand-signed paper copies will be allowed.

3. Reporting

The Uniform Periodic Performance Report (PPR) and the Uniform Periodic Financial Report (PFR) have been combined into IDOT's BoBS 2832 Reporting Form. Quarterly reporting will be required unless more frequent reporting is required pursuant to specific award conditions.

These reports will describe the expenditure(s) of the funds and performance measures related thereto. The first BoBS 2832 report shall cover the first three months after the Award begins. Quarterly reports must be submitted no later than 30 calendar days following the three-month period covered by the report. Failure to submit the required BoBS 2832 report may cause a delay or suspension of funding.

The BoBS 2832 is required at a minimum of four (4) times per year but may be required more frequently. Each Grantee's final reporting frequency shall be in the NOSA and grant agreement.

Additional documents (e.g., BSPE 205 or BSPE 07) are required. These required documents and their due dates will be clearly listed in Part II of your grant agreement.

In addition, the Grantee's assigned Safety Grant Administrator may have on-site monitoring.

G. State Awarding Agency Contact(s)

Program Contact:

Adam Gabany
217/785-1582
Adam.Gabany@illinois.gov

GATA Compliance:

Sarah Moore
217/524-8166
DOT.TSgrants@illinois.gov

H. Other Information

Certifications and Assurances:

I. Certifications

Bribery: Applicant certifies that it has not been convicted of bribery or attempting to bribe an officer or employee of the State of Illinois, nor made an admission of guilt of such conduct which is a matter of record (30 ILCS 500/50-5).

1. **Bid Rigging:** Applicant certifies that it has not been barred from contracting with a unit of State or local government as a result of a violation of Paragraph 33E-3 or 33E-4 of the Criminal Code of 1961 (720 ILCS 5/33E-3 or 720 ILCS 5/33E-4, respectively).
2. **Debt to State:** Applicant certifies that neither it, nor its affiliate(s), is/are barred from receiving an Award because Applicant, or its affiliate(s), is/are delinquent in the payment of any debt to the State, unless Applicant, or its affiliate(s), has/have entered into a deferred payment plan to pay off the debt, and Applicant acknowledges Grantor may declare the Agreement void if the certification is false (30 ILCS 500/50-11).
3. **Internal Revenue Code Compliance:** Applicant certifies that it does and will comply with all provisions of the Federal Internal Revenue Code (26 USC 1), the Illinois Revenue Act (35 ILCS 5), and all rules promulgated thereunder, including withholding provisions and timely deposits of employee taxes and unemployment insurance taxes.
4. **Lobbying:** Applicant certifies that it has not paid prior grant funds, or upon receiving an Award and Grant Agreement, will not be paying Grant Funds by or on behalf of Applicant to any person for influencing or attempting to influence an officer or employee of any government agency, a member of Congress or Illinois General Assembly, an officer or employee of Congress or Illinois General Assembly, or an employee of a member of Congress or Illinois General Assembly in connection with the awarding of any agreement, the making of any grant, the making of any loan, the entering into of any cooperative or intergovernmental agreement, or the extension, continuation, renewal, amendment or modification of any agreement, grant, loan or cooperative or intergovernmental agreement. 31 USC 1352. Additionally, Applicant certifies that it has filed the required certification under the Byrd Anti-Lobbying Amendment (31 USC 1352), if applicable.
 - i. **Federal Form LLL:** If any funds, other than Federally-appropriated funds, were paid or will be paid to any person for influencing or attempting to influence any of the above persons in connection with a Uniform Application for State Grant Assistance and subsequent Award and Agreement, the undersigned must also complete and submit Federal Form LLL, Disclosure of Lobbying Activities Form, in accordance with its instructions.
 - ii. **Lobbying Costs:** Applicant certifies that it is in compliance with the restrictions on lobbying set forth in 2 CFR Part 200.450. For any Indirect Costs associated with a Grant Agreement pursuant to an Award, total lobbying costs shall be separately identified in the Program Budget, and thereafter treated as other Unallowable Costs.
 - iii. **Procurement Lobbying:** Applicant warrants and certifies that it and, to the best of its knowledge, its sub-grantees, if Applicant intends to use sub-grantees upon issuance of an Award and subsequent Grant Agreement, have complied and will comply with Executive Order No. 1 (2007) (EO 1-2007). EO 1-2007 generally prohibits Grantees and subcontractors from hiring the then-serving Governor's family members to lobby procurement activities of the State, or any other unit of government in Illinois including local governments, if that procurement may result in a contract valued at over \$25,000. This prohibition also applies to hiring for that same purpose any former State employee who had procurement authority at any time during the one-year period preceding the procurement lobbying activity.
 - iv. **Sub-awards:** If Applicant is issued an Award and subsequent, Grant Agreement, and intends to utilize sub-Grantees, Applicant certifies it will include the language of this certification in the award documents for any sub-awards made pursuant to this Award at all tiers. All sub-awardees are also subject to certification and disclosure. Pursuant to Appendix II(I) to 2 CFR Part 200, Applicant shall forward all disclosures by contractors regarding this certification to Grantor.
5. **Educational Loan:** Applicant certifies that it is not barred from receiving State awards or agreements as a result of default on an educational loan (5 ILCS 385/1 *et seq.*).
6. **International Boycott:** Applicant certifies that neither it nor any substantially owned affiliated company is participating or shall participate in an international boycott in violation of the provision of the U.S. Export Administration Act of 1979 (50 USC Appendix 2401 *et seq.* or the regulations of the U.S. Department of Commerce promulgated under that Act (15 CFR Parts 730 through 774).
7. **Dues and Fees to a Discriminatory Club:** Applicant certifies that it is not prohibited from receiving an Award because it pays dues or fees on behalf of its employees or agents, or subsidizes or otherwise reimburses them for payment of their dues or fees to any club which unlawfully discriminates (775 ILCS 25/1 *et seq.*).

8. **Pro-Children Act:** Applicant certifies that it is in compliance with the Pro-Children Act of 2001 in that it prohibits smoking in any portion of its facility used for the provision of health, day care, early childhood development services, education or library services to children under the age of eighteen (18), which services are supported by Federal or State government assistance (except such portions of the facilities which are used for inpatient substance abuse treatment) (20 USC 7181-7184).
9. **Drug-Free Work Place:** If Applicant is not an individual, Applicant certifies it will provide a drug free workplace pursuant to the Drug Free Workplace Act. 30 ILCS 580/3. If Applicant is an individual and the Award applied for is valued at more than \$5,000, Applicant certifies it shall not engage in the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance during the performance of the Agreement. 30 ILCS 580/4. Applicant further certifies that it is in compliance with the government-wide requirements for a drug-free workplace as set forth in 41 USC 8102.
10. **Motor Voter Law:** Applicant certifies that it is in full compliance with the terms and provisions of the National Voter Registration Act of 1993 (52 USC 20501 *et seq.*).
11. **Clean Air Act and Clean Water Act:** Applicant certifies that it is in compliance with all applicable standards, order or regulations issued pursuant to the Clean Air Act (42 USC §7401 *et seq.*) and the Federal Water Pollution Control Act, as amended (33 USC §1251 *et seq.*).
12. **Debarment:** Applicant certifies that it is not debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this Agreement by any Federal department or agency (45 CFR Part 76), or by the State (See 30 ILCS 708/25(6)(G)).
13. **Non-procurement Debarment and Suspension:** Applicant certifies that it is in compliance with Subpart C of 2 CFR Part 180 as supplemented by 2 CFR Part 376, Subpart C.
14. **Grant for the Construction of Fixed Works:** Applicant certifies that all Programs for the construction of fixed works which are financed in whole or in part with funds provided by this Notice of Funding Opportunity shall be subject to the Prevailing Wage Act (820 ILCS 130/0.01 *et seq.*) unless the provisions of that Act exempt its application. In the construction of the Program, Applicant shall comply with the requirements of the Prevailing Wage Act including, but not limited to, inserting into all contracts for such construction a stipulation to the effect that not less than the prevailing rate of wages as applicable to the Program shall be paid to all laborers, workers, and mechanics performing work under the Award and requiring all bonds of contractors to include a provision as will guarantee the faithful performance of such prevailing wage clause as provided by contract.
15. **Health Insurance Portability and Accountability Act:** Applicant certifies that it is in compliance with the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law No. 104-191, 45 CFR Parts 160, 162 and 164, and the Social Security Act, 42 USC 1320d-2 through 1320d-7, in that it may not use or disclose protected health information other than as permitted or required by law and agrees to use appropriate safeguards to prevent use or disclosure of the protected health information. Applicant shall maintain, for a minimum of six (6) years, all protected health information.
16. **Criminal Convictions:** Applicant certifies that neither it nor any officer, director, partner or other managerial agent of Applicant has been convicted of a felony under the Sarbanes-Oxley Act of 2002, nor a Class 3 or Class 2 felony under Illinois Securities Law of 1953, or that at least five (5) years have passed since the date of the conviction. Applicant further certifies that it is not barred from receiving an Award under 30 ILCS 500/50-10.5, and acknowledges that Grantor shall declare the Award and subsequent Grant Agreement void if this certification is false (30 ILCS 500/50-10.5).
17. **Forced Labor Act:** Applicant certifies that it complies with the State Prohibition of Goods from Forced Labor Act, and certifies that, if it receives an Award and subsequent Grant Agreement, no foreign-made equipment, materials, or supplies furnished to the State under the Agreement have been or will be produced in whole or in part by forced labor, convict labor, or indentured labor under penal sanction (30 ILCS 583).
18. **Illinois Use Tax:** Applicant certifies in accordance with 30 ILCS 500/50-12 that it is not barred from receiving an Award under this Paragraph. Applicant acknowledges that the Award and subsequent Grant Agreement may be declared void if this certification is false.
19. **Environmental Protection Act Violations:** Applicant certifies in accordance with 30 ILCS 500/50-14 that it is not

barred from receiving an Award. Grantee acknowledges that the Award and subsequent Grant Agreement may be declared void if this certification is false.

20. **Goods from Child Labor Act:** Applicant certifies that no foreign-made equipment, materials, or supplies furnished to the State under a Grant Agreement executed pursuant to an Award have been or will be produced in whole or in part by the labor of any child under the age of twelve (12) (30 ILCS 584).
21. **Federal Funding Accountability and Transparency Act of 2006:** Applicant certifies that it is in compliance with the terms and requirements of 31 USC 6101. Applicant further certifies it does and will comply with the reporting requirements of the Federal Funding Accountability and Transparency Act of 2006 (P.L. 109-282) (FFATA) with respect to Federal Awards greater than or equal to \$25,000. A FFATA sub-award report must be filed by the end of the month following the month in which the award was made.
22. **Compliance with Uniform Grant Rules (2 CFR Part 200):** Applicant certifies that it shall adhere to the applicable Uniform Administrative Requirements, Cost Principles, and Audit Requirements, which are published in Title 2, Part 200 of the Code of Federal Regulations, and are incorporated herein by reference. See 44 Ill. Admin. Code 7000.30(b)(1)(A).
23. **Compliance with Non-Discrimination Laws:** Applicant, its employees and subcontractors under subcontract made pursuant to an Award and subsequent Grant Agreement, certifies that it shall comply with all applicable provisions of State and Federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity including, but not limited to, the following laws and regulations and all subsequent amendments thereto:
 - (a) The Illinois Human Rights Act (775 ILCS 5/1-101 *et seq.*), including, without limitation, 44 Illinois Administrative Code Part 750, which is incorporated herein;
 - (b) The Public Works Employment Discrimination Act (775 ILCS 10/1 *et seq.*);
 - (c) The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a- and 2000h-6). (*See also* guidelines to Federal Financial Assistance Recipients Regarding Title VI Prohibition Against National Origin Discrimination Affecting Limited English Proficient Persons [Federal Register: February 18, 2002 (Volume 67, Number 13, Pages 2671-2685)]);
 - (d) Section 504 of the Rehabilitation Act of 1973 (29 USC 794);
 - (e) The Americans with Disabilities Act of 1990 (42 USC 12101 *et seq.*); and
 - (f) The Age Discrimination Act (42 USC 6101 *et seq.*).

II. Assurances

1. **Applicant Standing and Authority:** Applicant assures it is duly organized, validly existing and in good standing under the laws of the State in which it was incorporated or organized and that it has the requisite power and authority to:
 - i. Execute and deliver the Uniform Application for State Grant Assistance on behalf of the Applicant;
 - ii. Execute and file the required certifications, assurances, Intergovernmental Agreements and Grant Agreements on behalf of the Applicant binding the Applicant;
 - iii. Execute Grant Agreements, Intergovernmental Agreements, and all other documents to be executed by Applicant in connection with the Award and subsequent Agreement with Grantor on behalf of the Applicant.
 - iv. Upon receiving an award and subsequent Intergovernmental Agreement or Grant Agreement, perform its obligations hereunder and to consummate the transactions contemplated within the Agreement.
 - v. If Applicant is organized under the laws of another jurisdiction, Applicant warrants that it is also duly qualified to do business in Illinois and, if applicable, is in good standing with the Illinois Secretary of State.
2. **General Assurances:**
 - i. Applicant assures it will comply with all applicable State, Federal and local laws and State administrative regulations in carrying out any project supported by a State of Illinois Grant Agreement or Intergovernmental Agreement, and any and all license requirements or professional certification provisions.
 - ii. Applicant agrees that it is under a continuing obligation to comply with the terms and conditions of the Grant Agreement or Intergovernmental Agreement with Grantor issued for its project.

- iii. Where applicable, Applicant assures it will comply with applicable State and Federal statutes, Federal regulations and Grantor administrative rules regarding confidential records or other information obtained by Applicant concerning persons served under an Intergovernmental Agreement or Grant Agreement. The records and information shall be protected by Applicant from unauthorized disclosure.
- iv. Applicant recognizes that Federal and State laws and regulations may be modified from time to time, and those modifications may affect project implementation. The Applicant understands that Presidential executive orders, executive orders from the Office of the Governor, Federal and State directives, including policies and program guidance may be issued concerning matters affecting the Applicant or the project.
- v. The Applicant agrees that the most recent Federal and State laws, regulations, and directives will apply to the project, unless Grantor issues a written determination otherwise.

3. **Registration Assurance:** Applicant assures it and any sub-grantees it might intend to utilize shall:

- i. be registered with the Federal SAM;
- ii. be in good standing with the Illinois Secretary of State, if applicable; and
- iii. have a valid DUNS number.

Applicant understands its responsibility to remain current with these registrations and requirements. If Applicant's status with regard to any of these requirements change, or the certifications made in and information provided in the Uniform Application for State Grant Assistance changes, Applicant must notify the Grantor.

4. **Gift Ban Assurance:** Applicant understands it is prohibited from giving gifts to State employees and assures it will not give any gifts pursuant to Officials and Employees Ethics Act (5 ILCS 430/10-10) and Executive Order 15-09.
5. **Freedom of Information Act (FOIA) Assurance:** Applicant assures that upon request, Applicant shall make available to Grantor all documents in its possession that Grantor deems necessary to comply with requests made under the Freedom of Information Act. (5 ILCS 140/7(2)).

Other information, if applicable:

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